



Total Synthesis

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The Total Synthesis of (\pm) -Naupliolide: A Tetracyclic Sesquiterpene Lactone

Hideki Abe, Tomoyuki Morishita, Toshihiro Yoshie, Kérya Long, Toyoharu Kobayashi, and Hisanaka Ito*

Abstract: The first total synthesis of (\pm) -naupliolide has been achieved. The synthetic method includes a Simmons–Smith cyclopropanation of an allyl alcohol, diastereoselective cleavage of a benzylidene acetal group, radical cyclization of an aldehyde with a cyclopropane ring, and construction of an eight-membered ring by ring-closing metathesis.

Naupliolide (1), isolated from the aerial parts of *Nauplius graveolens* subsp. *oborus* (Schousb) *Wikl*. in 2006 by Barrero et al., is a sesquiterpene lactone possessing a three-membered ring, a five-membered ring, an eight-membered ring, and a γ-lactone. [1] The structure of naupliolide (1), assigned based on 1D NOE and 2D NMR spectra (specifically HSQC, HMBC, and 1 H- 1 H COSY), is depicted in Figure 1. This compound was isolated along with 6,7,9,10-tetradehydroasteriscanolide (2) 12 and asteriscunolides A-D (3a-3d), [3] and a biosynthetic pathway towards 1 and 2 was proposed that included an acidinduced cyclization of asteriscunolide C (3c). Biological activities of novel sesquiterpene lactones 1 and 2 have not been reported yet, but those of asteriscunolides A-D (3a-3d) have been investigated. In particular, asteriscunolide D (3d) exhibits moderate cytotoxic activities (IC₅₀ = 1-4 μM) against

Figure 1. Structures of naupliolide (1) and related sesquiterpenes 2-5.

[*] Dr. H. Abe, T. Morishita, T. Yoshie, K. Long, Dr. T. Kobayashi, Prof. H. Ito

School of Life Sciences

Tokyo University of Pharmacy and Life Sciences

1432-1 Horinouchi, Hachioji, Tokyo 192-0392 (Japan)

E-mail: itohisa@toyaku.ac.jp

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neoplastic cell lines, including the human cancer cells A-549, HT-20, and MEL-28. $^{[4]}$

Although many examples of the total synthesis of related sesquiterpene lactones 6,7,9,10-tetradehydroasteriscanolide (2), [5] asteriscunolides A–D (3a–3d), [6] asteriscanolide (4), [7] and aquatolide (5) [8] have been reported, to our knowledge no publication has reported the total synthesis of naupliolide (1). There has been only one reported attempt to synthesize naupliolide (1), specifically by Li et al. in 2014 through an acid-mediated cyclization of asteriscunolide, [5] but this effort was unsuccessful. The structural features of 1 provided the motivation for this synthesis study of tetracyclic sesquiterpene lactones. Herein, we report the first total synthesis of (\pm)-naupliolide employing radical cyclization as the key step.

Our retrosynthetic analysis for the total synthesis of $\bf 1$ is shown in Figure 2. The final compound would be obtained from diene derivative $\bf 6$ by a ring-closing metathesis step. The diene derivative $\bf 6$ would be derived from tricyclic lactone $\bf 7$. The stereoselective construction of tricyclic lactone $\bf 7$ would be achieved by radical cyclization of aldehyde $\bf 8$, which has an unsaturated ester and a cyclopropane ring, using SmI $_2$ as the reagent. Aldehyde $\bf 8$ would be prepared from the known alcohol $\bf 10$ through a Z-selected Horner–Wadsworth–Emmons reaction and a Simmons–Smith cyclopropanation.

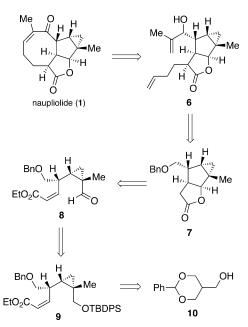


Figure 2. Retrosynthetic analysis for the total synthesis of naupliolide (1). Bn = benzyl; TBDPS = *tert*-butyldiphenylsilyl.



Stereoselective construction of the tricyclic lactone was the first aim of this synthetic project. The synthesis began from the known cyclic acetal 10, [9] as shown in Scheme 1. Oxidation of 10 with Dess-Martin periodinane, [10] followed by Z-selective Horner-Wadsworth-Emmons olefination^[11] of the resulting aldehyde, gave the unsaturated ester 11 as an inseparable mixture of cis and trans isomers at the phenyl group on the benzylidene acetal. This mixture could be separated after reduction of ethyl ester 11 with DIBALH, affording cis isomer 12a and trans isomer 12b in 43% and 42% yields, respectively.[12] The Simmons-Smith cyclopropanation^[13] of cis-12a tethering the Z-olefin afforded cis-13a and trans-13b in 18% and 54% yields, respectively. Under these cyclopropanation reaction conditions, epimerization of the phenyl group at the C2 position in the 1,3-dioxolane to the thermodynamically more stable trans isomer occurred. Cyclopropanation of trans-12b gave the same result to afford cis-13a and trans-13b in 18% and 79% yields, respectively. Protection of the hydroxy group of 13a and 13b with

Scheme 1. Synthesis of the tricyclic lactone **7.** a) Dess–Martin periodinane, NaHCO₃, CH₂Cl₂, 0°C, 1 h, 65%; b) (PhO)₂P(O)CH(Me)CO₂Et, NaH, THF, -50°C, 2 h, 91%; c) DIBALH, Et₂O, -78°C, 2 h, 43% for cis-**12a** and 42% for trans-**12b**; d) Et₂Zn, CH₂l₂, CH₂Cl₂, RT, 1 h, 18% for cis-**13a** and 54% for trans-**13b** from cis-**12a**, 18% for cis-**13a** and 79% for trans-**13b** from trans-**12b**; e) TBDPSCl, imidazole, DMF, RT, 2 h, 94% for **14a** from **13a**, and 92% for **14b** from **13b**; f) DIBALH, CH₂Cl₂, -78°C then -20°C, 24 h, 82% [+8% diastereoisomer] from **14a**, and 92% [+5% diastereoisomer] from **14b**; g) (COCl)₂, DMSO, Et₃N, CH₂Cl₂, -78°C then RT, 1 h; h) (PhO)₂P(O)CH₂CO₂Et, NaH, THF, -50°C, 2 h, 74% over 2 steps; i) HF-pyridine, pyridine, RT, 18 h, 92%; j) (COCl)₂, DMSO, Et₃N, CH₂Cl₂, -78°C then RT, 1 h, 85%; k) Sml₂, t-BuOH, THF, 0°C, 1 h, 61%. DIBALH = diisobutylaluminum hydride, DMF = N,N-dimethylformamide, DMSO = dimethyl sulfoxide.

a TBDPS group gave 14a and 14b, respectively. Diastereoselective ring opening at the benzylidene acetal of 14a and 14b was achieved by reduction with DIBALH to afford 15 in 82 and 92% yield, respectively.[14] Two-step operations including Swern oxidation of 15, followed by Z-selective olefination of the resulting aldehyde, produced the unsaturated ester 9 in 74% yield as a single isomer. Cleavage of the TBDPS group in 9 using hydrogen fluoride, and oxidation of the resulting alcohol 16 by Swern oxidation gave the radicalcyclization precursor 8 in excellent yield. The construction of perhydrocyclopropa[4,5]cyclopenta[1,2-b]furan-2-one framework was achieved by radical cyclization of 8 using SmI₂^[15] and t-BuOH as a proton source, affording tricyclic lactone 7 as a single isomer in 61% yield. The relative configuration of the resulting tricyclic compound 7 was confirmed by extensive spectroscopic analysis including NOESY experiments. Selected NOESY correlations of 7 are presented in Scheme 1. Clear NOE interactions between the α -hydrogen (H5 α) at the C5 position and both H3a and H5b protons were detected. In addition, a NOE interaction between $H5\alpha$ and the methylene of the C4 side-chain was also detected. These results indicate that the stereochemical relationship between the bridgehead protons at the C5b position and the methyl group at C5a was anti, and that the relationship between the proton at C4 and the proton at the C4a position was syn.

The stereoselectivity of the radical cyclization of $\bf 8$ was explained by chelation control as depicted in Figure 3. After the single-electron reduction of the aldehyde group of $\bf 8$ with ${\rm SmI_2}$, a seven-membered cyclic transition state was formed with the oxygen atom at the aldehyde group and the oxygen atom at the ester carbonyl group tethering the Sm atom. Transition state (TS) $\bf A$ was more stable than TS $\bf B$, in which steric hindrance existed between the benzyloxymethyl group and the ethyl ester unit of the Z-unsaturated ester group.

Having obtained the desired tricyclic compound 7, our interest turned to the synthesis of target molecule 1. After the

Figure 3. Stereoselectivity of the radical cyclization of 8.

NOEs





benzyl group of 7 was removed, but enylation at the α position to the carbonyl group of the resulting alcohol 18 gave alcohol 19 (d.r. = 19:1) in 56% yield. The obtained configuration at the C3 position in 19 was not desired, therefore many different sets of conditions (LDA and a proton source, 1,8diazabicyclo[5.4.0]undec-7-ene (DBU), t-BuOK) for epimerization of the C3 position to the desired configuration were examined. Finally, combining the formation of the enolate with LDA and protonation with solid silica gel gave the best result, forming desired 20 and the undesired 19 in a 5:1 ratio. After the resulting mixture was separated by HPLC, Swern oxidation of 20, followed by Grignard reaction of the resulting aldehyde with isopropenylmagnesium bromide, gave two separable diastereoisomers $6a^{[16]}$ and $6b^{[17]}$ (ratio of 2:1) at the secondary hydroxy group in 58% total yield over two steps. Ring-closing metathesis^[18] of the mixture of **6a** and **6b** using Grubbs second-generation reagent achieved construction of the 8-membered ring and the Z-olefin moiety, affording the tetracyclic compounds 21a and 21b in 50% yield in a 2:1 ratio. Finally, Swern oxidation of 21 resulted in the formation of (\pm) -naupliolide (1) in 76% yield. Spectroscopic data (¹H and ¹³C NMR spectra, IR, and mass spectra) of synthetic naupliolide were identical with those of the reported natural product.^[1] Additionally, the stereochemistry of (\pm) -1 was confirmed using X-ray crystallographic analysis (stereochemistry of (\pm) -1 shown in Scheme 2).[19]

In conclusion, the first total synthesis of racemic (\pm)-naupliolide (1) was accomplished from the known alcohol 10 in a total of 18 steps. The synthetic method involved the

Scheme 2. Total synthesis of naupliolide 1. a) H₂, Pd(OH)₂/C, MeOH, RT, 1 h, 83%; b) LDA, 4-iodo-1-butene, THF, $-78\,^{\circ}\text{C}$ then $-10\,^{\circ}\text{C}$, 6 h, 56%, d.r. = 19:1; c) LDA, THF, $-78\,^{\circ}\text{C}$, 1 h, then silica gel, $-78\,^{\circ}\text{C}$, 0.5 h, 90%, d.r. = 5:1 for **20**:19; d) (COCl)₂, DMSO, Et₃N, CH₂Cl₂, $-78\,^{\circ}\text{C}$ then RT, 1 h; e) isopropenylmagnesium bromide, THF, $-78\,^{\circ}\text{C}$, 2 h, 40% for **6a** and 18% for **6b**, over 2 steps; f) Grubbs 2nd generation catalyst, toluene, 60°C, 16 h, 50% yield, 2:1 mixture of **21a** and **21b**; g) (COCl)₂, DMSO, Et₃N, CH₂Cl₂, $-78\,^{\circ}\text{C}$ then RT, 1 h, 76%. LDA = lithium diisopropylamide.

following features: a) Simmons–Smith cyclopropanation of an allyl alcohol; b) diastereoselective cleavage of a benzylidene acetal group; c) construction of the tricyclic core of 1 by radical cyclization by using the reagent SmI₂; and d) ringclosing metathesis of diene compound 6 yielding tetracyclic compound 21 having an 8-membered ring and Z-olefin moieties. This synthetic strategy will be applicable to the synthesis of (+)-naupliolide, the natural enantiomer, which is now in progress in our laboratory.

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